IAdvisor 529 Plan Account Maintenance Form

Complete this form to establish or change information on your IAdvisor 529 Plan account. You must complete section 1 and section 11 of this form and any other sections as applicable.If you would like help completing this application, contact your financial advisor or call **1-800-774-5127**. Information is also available online at **www.iadvisor529Plan.com**.

1 Account Information and Mailing Address

Name of Account Owner or Custodian (first, middle initial, last)

Social Security/taxpayer ID number

If trust or estate, name of trustee/executor (first, middle initial, last)

Date of trust (mm/dd/yyyy)

U.S. residential street address

City

State

ZIP code

U.S. mailing address (if different than U.S. residential street address)

City

State

ZIP code

Daytime phone

Evening phone

Name of designated Beneficiary (first, middle initial, last)

Social Security/taxpayer ID number

Account number

Account number

Account number

Account number

Note**: If the address above is different than the address currently listed on our records, we will update all accounts for the Account Owner, Custodian, or entity. All future correspondence will be sent to the new address until you advise us otherwise. The Beneficiary address, if provided in section 2 of this form, will be updated on accounts for which the same Account Owner, Custodian, or entity is authorized.* ***Distributions to a new address will require a copy of a utility bill or driver's license reflecting the new address.

2 Beneficiary Address Change

If the Beneficiary has a new address, please provide it below.

U.S. residential street address

City

State

ZIP code

3 Account Owner Consent for E-Delivery

I would like to receive my account statements, transaction confirmations, Program descriptions, and Program description supplements electronically. If I do not consent below, I understand that I will receive my documents/statements in paper format.

☐ I consent to delivery of my 529 plan documents/statements in electronic format and have provided my e-mail address below.

E-mail address

I understand that I will receive an e-mail notice indicating that the most recent documents or statements are available for viewing and downloading at **www.iadvisor529Plan.com** and that I will need to establish a login ID and password to view these materials. I may change my electronic delivery preferences or unsubscribe from e-delivery at any time by logging into my account online or by calling **1-800-774-5127**.

4 Bank Information (Change or Establish)

Complete this section to change or establish bank information used for an Automatic Investment Plan (AIP), the Redemption option, or the Express Purchase option via electronic funds transfer (EFT) or wire. See below for additional details on bank registration requirements.

☐ Add ☐ Change ☐ Delete

Note*: If you have bank information on file for an AIP, the Redemption option, or the Express Purchase option, it will be removed and replaced with the new bank information provided, unless otherwise indicated in section 5 or section 6 of this form.*

To establish account options by EFT (Electronic Funds Transfer) at any time, your bank account registration MUST have one name in common with the IAdvisor529Plan Account Owner/Custodian. Please upload the first page of your bank statement or preprinted voided check reflecting the bank account holder(s).

You can establish account options by EFT by providing the wire transfer instructions for your bank or financial institution below.

How to find your banks ABA routing number...

↑ The ABA routing number can be found in the lower left corner of your personal check. The account number is just to the right of the ABA number.

Or, if you bank online, your bank will very likely provide easy access to the ABA number, once you are logged into your account.

Bank Name	Bank's ABA routing number (typically 9 digits)
Account Registration (name(s) on account)	Account Number

Voya Investment Management Co. LLC, affiliates, and subcontractors—as well as the officers, directors, employees, and agents of these entities (collectively, "Voya")—will not be responsible for banking system delays beyond their control.

I understand that by executing this application, I herein authorize my bank to honor all entries to my bank account initiated through BNY Mellon Investment Servicing (U.S.) Inc., or any successor, on behalf of the applicable 529 plan. I acknowledge and understand that Voya will not be liable for acting upon instructions believed genuine and in accordance with the procedures described in the Program Description and Participation Agreement or the rules of the Automated Clearing House. I further agree that any such authorization, unless previously terminated by my bank in writing, is to remain in effect until Voya receives, and has a reasonable amount of time to act upon, a subsequent notice.

5 Legal Name Change for Account Owner, Custodian, or Beneficiary

Complete this section to change the legal name of the account owner, custodian, or beneficiary. Check the box next to the individual whose name requires updating, and then write the old and new names here. Depending on the reason for the name change, you will need to provide a copy of one of the following:

- Court document authorizing the name change with court stamp
- Copy of Marriage Certificate
- Copy of Divorce Decree with court stamp

☐ Account Owner ☐ Custodian ☐ Beneficiary

Former name (first, middle initial, last)	New Name (first, middle initial, last)
Social Security/taxpayer ID number	

6 Automatic Investment Plan (Change or Establish)

Complete this section to change or establish an AIP. An AIP allows you to make scheduled, automatic purchases from your bank account into your IAdvisor529Plan account. Include bank information in section 4, if applicable.

List the account(s) to be updated:

☐ Establish a new AIP or ☐ Change or restart an existing AIP

☐ Using the **new** bank information (include bank information in section 4).

☐ Using the **existing** bank information on file.

AIP dollar amount per investment:

Funds are drawn two to three days prior to the date provided here so that the funds are available to invest on the dates provided

Option Name	Amount	Start Month	Day(s)
Frequency: ☐ Monthly ☐ Semi-Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually			
Frequency: ☐ Monthly ☐ Semi-Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually			
Frequency: ☐ Monthly ☐ Semi-Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually			

If you are establishing a new AIP and no amount is chosen, your bank account will be debited $25 on the date(s) you have chosen. If no date is chosen, your account will be debited on the 25th day of each month. If the date falls on a weekend or holiday, your AIP purchase will occur on the next business day. If the next business day falls in the next month, the AIP purchase will cycle on the previous business day. If you are updating an existing AIP and no amount or date is indicated, your AIP will be restarted with the amount and date on file.

Funds added to a UTMA account automatically becomes UTMA assets and cannot be transferred to a different beneficiary

7 Reduced Sales Charges

Complete this section to establish Rights of Accumulation (ROA) for your 529 plan accounts. If you have questions or would like help completing this form, contact your financial advisor or call **1-800-774-5127**.

Name of Account Owner (first, middle initial, last) ____________________

Social Security/taxpayer ID number ____________________

List all accounts to be considered for your ROA

Account number	Account number
Account number	Account number
Account number	Account number

To qualify for sales discounts on Class A shares in the applicable Program and list above the account numbers of the Class A and Class C shares in the Program for which you—or your spouse/domestic partner or child/grandchild (ages 18–21)—are the Account Owner. Refer to the Program Description and Participation Agreement for additional details.

8 Request Change of Financial Advisor Information

Include additional account numbers on a separate sheet or request the Advisor-of-record by Rep. ID form from the Program Manager.

Complete this section to update the current financial advisor information or to change the advisor-of-record to another financial advisor within the same broker/dealer on certain 529 plan accounts.

Account number ______________________ Account number ______________________

Account number ______________________ Account number ______________________

New or Updated Financial Advisor Information

Name of financial advisor (first, last) ______________________ Name of dealer ______________________

Rep. number ______________________ Branch number ______________________

U.S. street address ______________________ City ____________ State ______ Zip code ______

Daytime phone ______________________ Fax number ______________________

9 Account Options (Change or Establish)

The following options will be updated on all accounts listed in section 1 of this form. Include bank information in section 4, if applicable.

If you have existing bank information on file for any of these options, it will be removed and replaced with the new bank information provided.

Investment change - This option allows you to sell shares via the internet or by phone from one Option and use the proceeds to buy shares in an identically registered iadvisor 529 account in another Option. The number of investment changes that can be requested each calendar year without a change in the designated Beneficiary is limited per 529 plan regulations. Refer to the Program Description and Participation Agreement for details. **This option will be added to your account unless you check the following box:**

☐ I do **not** want the investment change option.

Redemption* - This option allows you to sell shares by phone to have money sent to the Account Owner's address of record or bank account (via EFT or wire) if a preprinted, voided check is provided. You may also sell shares via the internet to have a check payable to the Account Owner sent to the address of record. **This option will be added to your account unless you check the following box:**

☐ I do **not** want the redemption option.

☐ **If the iadvisor account is a UGMA/UTMA account, I certify that any funds redeemed will be used for the benefit of the minor.*

Express purchase - This option allows you to purchase shares via the internet or by phone with payment from your designated bank account by EFT if a preprinted, voided check is provided. **If a preprinted, voided check is provided, this option will be added to your account unless you check the following box:**

☐ I do not want the express purchase option.

10 Designation of Successor Account Owner or Custodian

Complete this section to designate or update a successor Account Owner for Individual Account or Custodian for a Uniform Gifts/Transfer to Minors Act (UGMA/UTMA) account.

☐ Add ☐ Change

Successor Account Owner/Custodian Name or Entity ______ Social Security/taxpayer ID number ______ Date of birth (mm/dd/yyyy) Must be 18 or older ______

U.S. residential street address ______ City ______ State ______ ZIP code ______

Citizenship: The Successor Account Owner must be a U.S. citizen or a resident alien

11 Agreement and Signature(s)

I certify that the information I have provided with respect to my IAdvisor 529 Plan account is true, complete, and correct. I have received, read, and agree to the terms set forth in the Program Description and Participation Agreement.

✘ Signature of Account Owner, Custodian, Trustee/Executor or Authorized financial advisor ______ Print name ______ Date ______

✘ Signature of Co-Trustee or Co-Executor (if applicable) ______ Print name ______ Date ______

12 Mailing Instructions

Regular Mail

IAdvisor 529 Plan
c/o Voya Investment Management
PO Box 534469
Pittsburgh, PA 15253-4469

Overnight/Courier

IAdvisor 529 Plan
Attention: 534469
500 Ross Street 154-0520
Pittsburgh, PA 15262

IAdvisor 529 Plan is a part of the Iowa Educational Savings Plan Trust, a state-sponsored 529 college savings plan administered by the State of Iowa, for which the Treasurer of the State of Iowa serves as the Trustee. Voya Investment Management Co. LLC provides investment management and administrative services for the IAdvisor 529 Plan. Shares in the Program are distributed by Voya Investments Distributor, LLC, Member FINRA/SIPC.

IAdvisor 529